10 November 2023

## CAPITAL FOR COLLEAGUES PLC

('Capital for Colleagues', 'C4C' or the 'Company')

# SHAREHOLDING IN THE COMPANY

Capital for Colleagues, the investment vehicle focused on opportunities in the Employee Owned Business ('EOB') sector, has received a TR-1 Form, which is reproduced without amendment below.

# TR-1: Standard form for notification of major holdings

**NOTIFICATION OF MAJOR HOLDINGS** (to be sent to the relevant issuer <u>and</u> to the FCA in Microsoft Word format if possible)<sup>i</sup>

1a. Identity of the issuer or the underlying issuer of ex- isting shares to which voting rights are attached ":	Capital for Colleagues Plc	
1b. Please indicate if the issuer is a non-UK issuer (please	mark with an "X" if appropriate)	
Non-UK issuer		
2. Reason for the notification (please mark the appropriate	e box or boxes with an "X")	
An acquisition or disposal of voting rights		х
An acquisition or disposal of financial instruments		
An event changing the breakdown of voting rights		
Other (please specify) <sup>III</sup> :		
3. Details of person subject to the notification obligation <sup>iv</sup>		
Name	Castlefield Investment Partners LLP	
City and country of registered office (if applicable) Manchester, United Kingdom		
4. Full name of shareholder(s) (if different from 3.)		
Name		
City and country of registered office (if applicable)		
5. Date on which the threshold was crossed or reached vi:	09/11/2023	
6. Date on which issuer notified (DD/MM/YYYY):	10/11/2023	
7. Total positions of person(s) subject to the notification obligation		

	% of voting rights at- tached to shares (to- tal of 8. A)	% of voting rights through financial in- struments (total of 8.B 1 + 8.B 2)	Total of both in % (8.A + 8.B)	Total number of voting rights held in issuer (8.A + 8.B) vii
Resulting situation on the date on which threshold was crossed or reached	38.96%			38.96%
Position of previous notification (if applicable)	41.87%			

8. Notified details of the	resulting situation on the	date on which the t	hreshold was crossed or re	eached <sup>viii</sup>
A: Voting rights attached	to shares			
Class/type of	Number of voting rig	;hts <sup>ix</sup>	% of voting rights	
<b>shares</b> ISIN code (if possible)	Direct (DTR5.1)	Indirect (DTR5.2.1)	Direct (DTR5.1)	Indirect (DTR5.2.1)
Ordinary shares: GB00BGCZ2V99		7,204,229		38.96%
SUBTOTAL 8. A				

according to D	TR5.3.1R (1) (a)		
Expiration date <sup>x</sup>	Exercise/ Conversion Period <sup>xi</sup>	Number of voting rights that may be acquired if the instrument is exercised/converted.	% of voting rights
	SUBTOTAL 8. B 1		
	Expiration	date x Conversion Period xi   Image: Conversion Period xi Image: Conversion Period Xi   Image: Conversion Pe	Expiration date x   Exercise/   Number of voting rights that may be acquired if the instrument is exercised/converted.     Image: Conversion Period xi   Image: Conversion Period xi   Image: Converted xi     Image: Conversion Period xi   Image: Converted xi   Image: Converted xi     Image: Conversion Period xi   Image: Converted xi   Image: Converted xi     Image: Conversion Period xi   Image: Converted xi   Image: Converted xi     Image: Conversion Period xi   Image: Converted xi   Image: Converted xi     Image: Conversion Period xi   Image: Converted xi   Image: Converted xi     Image: Conversion Period xi   Image: Converted xi   Image: Converted xi     Image: Conversion Period xi   Image: Converted xi   Image: Converted xi     Image: Conversion Period xi   Image: Conversion Period xi   Image: Conversion Period xi     Image: Conversion Period xi   Image: Conversion Period xi   Image: Conversion Period xi     Image: Conversion Period xi   Image: Conversion Period xi   Image: Conversion Period xi     Image: Conversion Period xi   Image: Conversion Period xi   Image: Conversion Period xi     Image: Conversion Period xi   Image: Conversion Period xi   Image: Conversion Period xi     Image: Conversion Period xi

Type of financial in- strument	Expiration date <sup>×</sup>	Exercise/ Conversion Pe- riod <sup>×i</sup>	Physical or cash Settlement <sup>xii</sup>	Number of voting rights	% of voting rights
		I	SUBTOTAL 8.B.2		

**9. Information in relation to the person subject to the notification obligation** (please mark the applicable box with an "X")

Person subject to the notification obligation is not controlled by any natural person or legal entity and does not control any other undertaking(s) holding directly or indirectly an interest in the (underlying) issuer xiii

<u>Full</u> chain of controlled undertakings through which the voting rights and/or the financial instruments are effectively held starting with the ultimate controlling natural person or legal entity (please add additional rows as necessary) <sup>xiv</sup>

Name <sup>xv</sup>	% of voting rights if it equals or is higher than the notifiable threshold	% of voting rights through financial instruments if it equals or is higher than the notifiable threshold	Total of both if it equals or is higher than the notifiable threshold
Castlefield Sustainable Portfolio Growth Fund	5.62%		
Castlefield Sustainable Portfolio Income Fund	1.32%		
Castlefield Investment Partners LLP <sup>1</sup>	32.02%		
Combined:	38.96%		

1. CIP does not exercise direct ownership of these shares. This amount is reflective of the aggregated position of CIP's clients, whose assets are managed by CIP on a discretionary basis, none of whom individually own over 3% of the company's voting rights.

10. In case of proxy voting, please identify:	
Name of the proxy holder	
The number and % of voting rights held	
The date until which the voting rights will be held	

11. Additional information <sup>xvi</sup>			

Place of completion	Manchester, United Kingdom
Date of completion	10/11/2023

### \*\*ENDS\*\*

For further information, please visit <u>www.capitalforcolleagues.com</u> or contact:

## CAPITAL FOR COLLEAGUES PLC

Richard Bailey, Chairman

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Alistair Currie, Chief Executive John Lewis, Finance Director

### PETERHOUSE CAPITAL LIMITED

Mark Anwyl

## **Capital for Colleagues plc**

Capital for Colleagues is an investment company focused on the UK EOB sector. The Company has a proven management team, with a wide network of contacts and affiliates, as well as established access to investment opportunities, enabling the Company to execute its strategy and capitalise on EOB-focused investment opportunities. In addition, the Company educates and assists companies that are looking to launch employee ownership schemes, advising them, amongst other things, on how to secure investment and achieve their objectives.

# Market Abuse Regulation (MAR) Disclosure

This announcement contains inside information for the purposes of Article 7 of the Market Abuse Regulation EU 596/2014 as it forms part of retained EU law (as defined in the European Union (Withdrawal) Act 2018).

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